

# Analytic Number Theory

## Lecture 7

Mariusz Mirek  
Rutgers University

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# Dirichlet characters

## Definition

Let  $q \in \mathbb{Z}_+$ . A Dirichlet character modulo  $q$  is a map  $\chi : \mathbb{Z} \setminus \{0\} \rightarrow \mathbb{C}$  satisfying the following rules for all  $a, b \in \mathbb{Z} \setminus \{0\}$ :

- (i)  $\chi(a) = \chi(a \pmod{q})$ ;
- (ii)  $\chi(ab) = \chi(a)\chi(b)$ ;
- (iii)  $\chi(a) = 0$  if  $(a, q) > 1$ .

## Remarks

- ▶ In fact, (i) and (ii) mean that Dirichlet character modulo  $q$  are homomorphisms of the multiplicative group  $(\mathbb{Z}/q\mathbb{Z})^\times$  and property (iii) extends these maps to  $\mathbb{Z} \setminus \{0\}$ .
- ▶ On the other hand, we can readily see that corresponding to every multiplicative character  $\psi \in \widehat{(\mathbb{Z}/q\mathbb{Z})^\times}$  there is  $\chi : \mathbb{Z} \setminus \{0\} \rightarrow \mathbb{C}$ , a Dirichlet character modulo  $q$ , defined by

$$\chi(a) = \begin{cases} \psi(a + q\mathbb{Z}) & \text{if } (a, q) = 1, \\ 0 & \text{if } (a, q) > 1. \end{cases}$$

From this definition we immediately see that  $\chi$  satisfies (i)-(iii).

## Remarks

- ▶ By the previous remark we see that the set of Dirichlet characters modulo  $q$  is a group isomorphic to the multiplicative group  $(\mathbb{Z}/q\mathbb{Z})^\times$  of the units of the ring  $\mathbb{Z}/q\mathbb{Z}$ .
- ▶ In particular, there are  $\varphi(q)$  Dirichlet characters modulo  $q$ .
- ▶ The identity element of this group is called the principal, or trivial, character modulo  $q$  and is usually denoted by  $\chi_0$ . Thus,  $\chi_0$  is defined for all  $a \in \mathbb{Z}$  by

$$\chi_0(a) = \begin{cases} 1, & \text{if } (a, q) = 1, \\ 0, & \text{otherwise.} \end{cases}$$

- ▶ Let  $\chi$  be a Dirichlet character modulo  $q$ . We define  $\bar{\chi}$  by  $\bar{\chi}(a) = \overline{\chi(a)}$ . Clearly,  $\bar{\chi}$  is also a Dirichlet character modulo  $q$  called the conjugate character of  $\chi$ .
- ▶ It is also not difficult to see that, if  $(a, q) = 1$ , then  $\chi(a)$  is a  $\varphi(q)$ th root of unity. Indeed, if  $a \in (\mathbb{Z}/q\mathbb{Z})^\times$ , we have

$$(\chi(a))^{\varphi(q)} = \chi\left(a^{\varphi(q)}\right) = \chi(1) = 1.$$

# Orthogonality relations

## Notation

Let  $m \in \mathbb{Z}_+$ . We will use the following convenient notation:

- ▶  $\sum_{a \pmod m}$  will always denote the sum over a complete set of residue classes modulo  $m$ .
- ▶  $\sum_{\chi \pmod m}$  will always denote the sum over the  $\varphi(m)$  Dirichlet characters modulo  $m$ .

Applying the first orthogonality relation from Lecture 6 for  $\mathbb{G} = (\mathbb{Z}/m\mathbb{Z})^\times$  we obtain the following orthogonality relation for Dirichlet characters:

## Theorem (Orthogonality relation I)

- ▶ *If  $\chi$  is a Dirichlet character modulo  $m$ , then*

$$\sum_{a \pmod m} \chi(a) = \begin{cases} \varphi(m) & \text{if } \chi = \chi_0, \\ 0 & \text{if } \chi \neq \chi_0. \end{cases}$$

- ▶ *If  $a \in \mathbb{Z}$ , then*

$$\sum_{\chi \pmod m} \chi(a) = \begin{cases} \varphi(m) & \text{if } a \equiv 1 \pmod m, \\ 0 & \text{if } a \not\equiv 1 \pmod m. \end{cases}$$

# Orthogonality relations

Applying the second orthogonality relation from Lecture 6 for  $\mathbb{G} = (\mathbb{Z}/m\mathbb{Z})^\times$  we obtain the following orthogonality relation for Dirichlet characters:

## Theorem (Orthogonality relation II)

► If  $\chi_1$  and  $\chi_2$  are Dirichlet characters modulo  $m$ , then

$$\sum_{a \pmod{m}} \chi_1(a) \overline{\chi_2}(a) = \begin{cases} \varphi(m) & \text{if } \chi_1 = \chi_2, \\ 0 & \text{if } \chi_1 \neq \chi_2. \end{cases}$$

► If  $a, b \in \mathbb{Z}$ , then

$$\sum_{\chi \pmod{m}} \chi(a) \overline{\chi}(b) = \begin{cases} \varphi(m) & \text{if } (a, m) = (b, m) = 1 \text{ and } a \equiv b \pmod{m}, \\ 0 & \text{otherwise.} \end{cases}$$

## Examples of Dirichlet characters

- ▶ For  $k = 2$ , the principal character is the only one.
- ▶ For  $k = 3$ , there are two characters, namely

$$\chi_0(n) = \begin{cases} 1 & \text{if } n \equiv 1, 2 \pmod{3}, \\ 0 & \text{if } n \equiv 0 \pmod{3}, \end{cases} \quad \chi_1(n) = \begin{cases} 1 & \text{if } n \equiv 1 \pmod{3}, \\ -1 & \text{if } n \equiv -1 \pmod{3}, \\ 0 & \text{if } n \equiv 0 \pmod{3}. \end{cases}$$

- ▶ For  $k = 4$ , there are again two characters, namely

$$\chi_0(n) = \begin{cases} 1 & \text{if } n \text{ is odd,} \\ 0 & \text{if } n \text{ is even,} \end{cases} \quad \chi_1(n) = \begin{cases} 1 & \text{if } n \equiv 1 \pmod{4} \\ -1 & \text{if } n \equiv -1 \pmod{4}, \\ 0 & \text{if } n \text{ is even.} \end{cases}$$

- ▶ For  $k = 5$ , there are four characters given in the following table:

$n \pmod{5}$	1	2	3	4	0
$\chi_0(n)$	1	1	1	1	0
$\chi_1(n)$	1	$i$	$-i$	$-1$	0
$\chi_2(n)$	1	$-1$	$-1$	1	0
$\chi_3(n)$	1	$-i$	$i$	$-1$	0

# Conductors, induced, primitive and imprimitive characters

Let  $\chi, \chi_1$  be Dirichlet characters modulo  $q$  and  $q_1$  respectively. Let  $q_1$  be a divisor of  $q$ . We say that  $\chi$  is induced by  $\chi_1$  or  $\chi_1$  induces  $\chi$  if

$$\chi(n) = \chi_0(n)\chi_1(n) \quad \text{for all } n \in \mathbb{Z}, \quad (*)$$

where  $\chi_0$  is the principal character modulo  $q$ .

- ▶ A Dirichlet character  $\chi$  modulo  $q$  is said to be imprimitive if there exists a Dirichlet character  $\chi_1$  modulo  $q_1$  that induces  $\chi$  for some proper divisor  $q_1$  of  $q$ .
- ▶ The conductor of a Dirichlet character  $\chi$  modulo  $q$  is the smallest divisor  $q_1$  of  $q$  for which  $(*)$  holds.
- ▶ A Dirichlet character  $\chi$  modulo  $q$  is said to be primitive if the conductor of  $\chi$  is simply equal to its modulus  $q$ . In other words,  $\chi$  is primitive, if it is trivially induced by itself and  $\chi = \chi_0\chi$ .

## Remarks

- ▶ The principal character  $\chi_0$  is imprimitive for every integer  $q > 1$ .
- ▶ Let  $q^*$  be the conductor of a Dirichlet character  $\chi$  modulo  $q$ . Then  $\chi = \chi_0\chi^*$ , where  $\chi^*$  is a Dirichlet character modulo  $q^*$ , which is primitive and uniquely determined by  $\chi$ . This follows from the fact that  $q^*$  is the smallest divisor of  $q$  for which  $(*)$  holds.

# Useful result

## Lemma

Let  $m, d \in \mathbb{Z}_+$  be such that  $d \mid m$ . If  $\gcd(a, d) = 1$  for some  $a \in \mathbb{Z}$ , then there exists  $b \in \mathbb{Z}$  such that  $b \equiv a \pmod{d}$  and  $\gcd(b, m) = 1$ .

## Proof.

- ▶ Let  $m = \prod_{i \in [k]} p_i^{r_i}$  and  $d = \prod_{i \in [k]} p_i^{s_i}$ , where  $r_i \geq 1$  and  $0 \leq s_i \leq r_i$  for  $i \in [k]$ . Let  $n$  be the product of the prime powers that divide  $m$  but not  $d$ . Then  $n = \prod_{\substack{i \in [k] \\ s_i = 0}} p_i^{r_i}$  and  $\gcd(n, d) = 1$ .
- ▶ By the existence of solutions for linear congruences there is  $x \in \mathbb{Z}$  such that  $dx \equiv 1 - a \pmod{n}$ . Then  $b = a + dx \equiv 1 \pmod{n}$  and  $\gcd(b, n) = 1$ .
- ▶ Also,

$$b \equiv a \pmod{d}.$$

- ▶ If  $\gcd(b, m) \neq 1$ , there exists a prime  $p \in \mathbb{P}$  that divides both  $b$  and  $m$ . However,  $p$  does not divide  $n$  since  $\gcd(b, n) = 1$ . It follows that  $p \mid d$ , and so  $p$  divides  $b - dx = a$ , which is impossible since  $\gcd(a, d) = 1$ .

Therefore,  $\gcd(b, m) = 1$  and we are done. □



# Quasiperiod of characters

## Definition

Let  $\chi$  be a Dirichlet character modulo  $q$ . We say  $d$  is a quasiperiod of  $\chi$  if  $\chi(m) = \chi(n)$  whenever  $m \equiv n \pmod{d}$  and  $(mn, q) = 1$ . Obviously, every period is a quasiperiod.

## Proposition

*Let  $\chi$  be a Dirichlet character modulo  $q$ . Then,  $\chi$  is imprimitive if and only if there is a proper divisor  $d$  of  $q$  which is a quasiperiod of  $\chi$ . In particular, the conductor of  $\chi$  is its quasiperiod.*

## Proof.

- ▶ Suppose that  $\chi$  is imprimitive, then it is induced by a Dirichlet character  $\chi_1$  modulo  $d$ , that is  $\chi = \chi_0 \chi_1$ . Take  $m \equiv n \pmod{d}$  with  $(mn, q) = 1$ , then  $(m, q) = (n, q) = 1$  and

$$\chi(m) = \chi_0(m)\chi_1(m) = \chi_1(m) = \chi_1(n) = \chi_0(n)\chi_1(n) = \chi(n),$$

since  $\chi_1$  has period  $d$ .

- ▶ For the converse implication, we shall construct a Dirichlet character  $\chi^*$  modulo  $d$  such that  $\chi = \chi_0 \chi^*$ .
- ▶ For this purpose we will use the following observation if  $d \mid q$  and  $(n, d) = 1$ , then there is  $k \in \mathbb{Z}$  such that  $(n + dk, q) = 1$ .

## Proof

- ▶ Then it suffices to set

$$\chi^*(n) := \begin{cases} \chi(n + dk) & \text{if } (n, d) = 1, \\ 0 & \text{if } (n, d) > 1, \end{cases}$$

where  $k \in \mathbb{Z}$  is such that  $(n + dk, q) = 1$ .

- ▶ We note that although there are many possible choices of  $k \in \mathbb{Z}$ , the value of  $\chi^*(n)$  depends only on  $n \pmod{d}$ . Indeed, if  $n \equiv m \pmod{d}$  and  $(n, d) = (m, d) = 1$  then there are integers  $k_n, k_m \in \mathbb{Z}$  such that  $(n + dk_n, q) = (m + dk_m, q) = 1$ , which immediately implies

$$\chi^*(n) = \chi(n + dk_n, q) = \chi(m + dk_m, q) = \chi^*(m),$$

since  $d$  is the quasiperiod of  $\chi$  and  $n + dk_n \equiv m + dk_m \pmod{d}$ .

- ▶ It remains to prove that  $\chi^*$  is a homomorphism. Let  $m, n \in \mathbb{Z}$  be such that  $(mn, d) = 1$ , then  $(m, d) = (n, d) = 1$  and there are  $k_m, k_n, k_{mn} \in \mathbb{Z}$  such that  $(m + dk_m, q) = (n + dk_n, q) = (mn + dk_{mn}, q) = 1$ . Thus we have  $(m + dk_m)(n + dk_n) \equiv mn + dk_{mn} \pmod{d}$  and since  $\chi$  is homomorphism and  $d$  is the quasiperiod of  $\chi$ , we consequently have

$$\begin{aligned} \chi^*(mn) &= \chi(mn + dk_{mn}) = \chi((m + dk_m)(n + dk_n)) \\ &= \chi(m + dk_m)\chi(n + dk_n) = \chi^*(m)\chi^*(n). \quad \square \end{aligned}$$

# Quasiperiodic characters

## Proposition

Let  $\chi$  be a Dirichlet character modulo  $q$  and let  $d \mid q$  and  $d < q$ . Then,  $\chi$  has quasiperiod  $d$  if and only if  $\chi(n) = 1$  for all  $n \equiv 1 \pmod{d}$  with  $(n, q) = 1$ .

## Proof.

- ▶ Observe that if  $\chi$  has quasiperiod  $d$ , then  $\chi(m) = \chi(n)$  whenever  $m \equiv n \pmod{d}$  and  $(mn, q) = 1$ , which implies the condition above by taking  $m = 1$ , since  $\chi(1) = 1$ . For the converse, assume that the above condition holds. Take  $m \equiv n \pmod{d}$  with  $(m, q) = (n, q) = 1$ .
- ▶ Since  $(m, q) = 1$  then we can find  $m' \in \mathbb{Z}$  such that  $mm' \equiv 1 \pmod{q}$ .
- ▶ Thus  $\chi(mm') = \chi(1) = 1$ , since  $\chi$  has period  $q$ .
- ▶ We also obtain that  $mm' \equiv 1 \pmod{d}$ , since  $d \mid q$ , and consequently

$$nm' \equiv mm' \equiv 1 \pmod{d}.$$

- ▶ Since  $(mm', q) = (nm', q) = 1$ , we obtain by the above condition that

$$\chi(m)\chi(m') = \chi(mm') = 1 = \chi(nm') = \chi(n)\chi(m'),$$

which yields  $\chi(n) = \chi(m)$  as desired.



# Characterizations of primitivity

## Theorem

*Let  $\chi$  be a Dirichlet character modulo  $q$ . Then,  $\chi$  is primitive if and only if for every proper divisor  $d$  of  $q$  there exists  $m \in \mathbb{Z}$  satisfying  $m \equiv 1 \pmod{d}$  and  $(m, q) = 1$  such that  $\chi(m) \neq 1$ .*

## Proof.

The proof readily follows by applying the previous two propositions. □

## Theorem

*Let  $\chi$  be a Dirichlet character modulo  $q$ . Then, the following are equivalent:*

- (i)  $\chi$  is primitive;
- (ii) if  $d \mid q$  and  $d < q$ , then for any  $a \in \mathbb{Z}$ , we have

$$\sum_{\substack{n=1 \\ n \equiv a \pmod{d}}}^q \chi(n) = 0.$$

## Proof

- ▶ Assume that condition (i) is true. If  $\chi$  is primitive, then there is  $m \in \mathbb{Z}$  satisfying  $m \equiv 1 \pmod{d}$  and  $(m, q) = 1$  such that  $\chi(m) \neq 1$ . Then

$$\begin{aligned} S &= \sum_{\substack{n=1 \\ n \equiv a \pmod{d}}}^q \chi(n) = \sum_{\substack{n=1 \\ n \equiv am \pmod{d}}}^q \chi(n) = \sum_{k=1}^{q/d} \chi(am + dkm) \\ &= \chi(m) \sum_{\substack{n=1 \\ n \equiv a \pmod{d}}}^q \chi(n) = \chi(m)S. \end{aligned}$$

- ▶ Since  $\chi(m) \neq 1$ , we deduce that  $S = 0$ , and we are done.
- ▶ Assume that condition (ii) is true. Let  $d \mid q$  and  $d < q$ , and  $a = 1$ . Then

$$\sum_{\substack{n=1 \\ n \equiv 1 \pmod{d}}}^q \chi(n) = 0,$$

and since  $\chi(1) = 1$ , there must be  $2 \leq m \leq q$  such that  $0 \neq \chi(m) \neq 1$ .

- ▶ But  $m \equiv 1 \pmod{d}$  and  $(m, q) = 1$ , hence by the previous theorem  $\chi$  must be primitive as desired. □

# Primitive characters further characterizations

## Lemma

Suppose that  $(q_1, q_2) = 1$  and let  $\chi_i$  be a Dirichlet character  $(\bmod q_i)$  for  $i \in [2]$ . Then  $\chi = \chi_1 \chi_2$  is primitive  $(\bmod q_1 q_2)$  if and only if  $\chi_1$  and  $\chi_2$  are both primitive.

## Proof.

- ▶ We first prove the implication  $(\implies)$ . Let  $d_i$  be the conductor of  $\chi_i$ . If  $(mn, q_1 q_2) = 1$  and  $m \equiv n \pmod{d_1 d_2}$ , then  $\chi_i(m) = \chi_i(n)$ , hence  $d_1 d_2$  is a quasiperiod of  $\chi$ . Thus  $d_1 d_2 = q_1 q_2$  since  $\chi$  is primitive. Therefore,  $d_1 = q_1$  and  $d_2 = q_2$  since  $d_i \mid q_i$  for  $i \in [2]$ .
- ▶ We now prove the reverse implication  $(\impliedby)$ . Let  $d$  be the conductor of  $\chi$ . Set  $d_i = (d, q_i)$  for  $i \in [2]$ . We show that  $d_1$  is a quasiperiod of  $\chi_1$ . Suppose  $(mn, q_1) = 1$  and  $m \equiv n \pmod{d_1}$ . Choose  $m_0, n_0 \in \mathbb{Z}$  so that

$$\begin{aligned} m_0 &\equiv m \pmod{q_1}, & \text{and} & & n_0 &\equiv n \pmod{q_1}, \\ m_0 &\equiv 1 \pmod{q_2}, & \text{and} & & n_0 &\equiv 1 \pmod{q_2}. \end{aligned}$$

Thus  $m_0 \equiv n_0 \pmod{d_1}$  and  $m_0 \equiv n_0 \pmod{d_2}$ , hence  $m_0 \equiv n_0 \pmod{d_1 d_2}$ , but  $d_1 d_2 = (d, q_1 q_2) = d$  since  $d \mid q_1 q_2$ . Consequently,  $m_0 \equiv n_0 \pmod{d}$  and  $(m_0 n_0, q_1 q_2) = 1$ , yielding  $\chi(m_0) = \chi(n_0)$ . Therefore,  $d_1$  is a quasiperiod of  $\chi_1$ , since  $\chi_1(m) = \chi(m_0) = \chi(n_0) = \chi_1(n)$ . Since  $\chi_1$  is primitive, we must have  $d_1 = q_1$ . Similarly,  $d_2 = q_2$ , and finally  $d = q_1 q_2$ . □

# Explicit formulas for the Dirichlet characters

- ▶ By the Chinese remainder theorem, or more abstractly, by the structure theorem for finite abelian groups if  $q = \prod_{p \in \mathbb{P}} p^{\alpha_p(q)}$ , then

$$(\mathbb{Z}/q\mathbb{Z})^\times \equiv \bigotimes_{p^{\alpha_p(q)} | q} (\mathbb{Z}/p^{\alpha_p(q)}\mathbb{Z})^\times.$$

In other words, any multiplicative group modulo  $q$  is isomorphic to the direct product of multiplicative groups modulo prime powers.

- ▶ Therefore, understanding Dirichlet characters on  $(\mathbb{Z}/q\mathbb{Z})^\times$ , is reduced to understand Dirichlet characters on  $(\mathbb{Z}/p^{\alpha_p(q)}\mathbb{Z})^\times$ .
- ▶ Let  $p \in \mathbb{P}$  be an odd prime number. Then the corresponding group  $(\mathbb{Z}/p^\alpha\mathbb{Z})^\times$  is cyclic. This means that there exists a primitive root  $g$  in  $(\mathbb{Z}/p^\alpha\mathbb{Z})^\times$ . In fact, we can find a primitive root in  $(\mathbb{Z}/p\mathbb{Z})^\times$ , which is also a primitive root in  $(\mathbb{Z}/p^\beta\mathbb{Z})^\times$  for all  $\beta \in \mathbb{Z}_+$ .
- ▶ If  $(n, p) = 1$  let  $\nu(n) = \text{ind}_g n \pmod{p^\alpha}$ , so that  $\nu(n)$  is the unique integer satisfying the conditions

$$n \equiv g^{\nu(n)} \pmod{p^\alpha}, \quad \text{where} \quad 0 \leq \nu(n) < \varphi(p^\alpha).$$

# Explicit formulas for the Dirichlet characters

- ▶ For  $h \in \mathbb{N}_{<\varphi(p^\alpha)}$ , define  $\chi_h$  by the relations

$$\chi_h(n) = \chi_h(n; p^\alpha) = \begin{cases} e(h\nu(n)/\varphi(p^\alpha)) & \text{if } p \nmid n, \\ 0 & \text{if } p \mid n. \end{cases}$$

- ▶ Using the properties of indices  $\nu(n) = \text{ind}_g n \pmod{p^\alpha}$  it is easy to verify that  $\chi_h$  is completely multiplicative and periodic with period  $p^\alpha$ , so  $\chi_h$  is a Dirichlet character mod  $p^\alpha$ , with  $\chi_0$  being the principal character. This verification is left as an exercise!
- ▶ Since

$$\chi_h(g) = e(h/\varphi(p^\alpha))$$

the characters  $\chi_0, \chi_1, \dots, \chi_{\varphi(p^\alpha)-1}$  are distinct because they take distinct values at  $g$ . Therefore, since there are  $\varphi(p^\alpha)$  such functions they represent all the Dirichlet characters  $\pmod{p^\alpha}$ .

- ▶ The same construction works for the modulus  $2^\alpha$  if  $\alpha = 1$  or  $\alpha = 2$ , using  $g = 3$  as the primitive root.



# Explicit formulas for the Dirichlet characters

- ▶ If  $\alpha \geq 3$  the modulus  $2^\alpha$  has no primitive root and a slightly different construction is needed to obtain the characters mod  $2^\alpha$ .
- ▶ We know that for every  $\alpha \geq 3$ , and every odd integer  $n \in \mathbb{Z}$  there is a uniquely determined integer  $\nu(n)$  such that

$$n \equiv (-1)^{(n-1)/2} 5^{\nu(n)} \pmod{2^\alpha}, \quad \text{with} \quad 1 \leq \nu(n) \leq \varphi(2^\alpha)/2.$$

- ▶ With this knowledge we can construct all the characters  $\pmod{2^\alpha}$  if  $\alpha \geq 3$ .  
Let

$$f(n) = \begin{cases} (-1)^{(n-1)/2} & \text{if } n \text{ is odd,} \\ 0 & \text{if } n \text{ is even,} \end{cases}$$

and let

$$g(n) = \begin{cases} e(\nu(n)/2^{\alpha-2}) & \text{if } n \text{ is odd,} \\ 0 & \text{if } n \text{ is even,} \end{cases}$$

where  $\nu(n)$  is the integer given in the previous item.

- ▶ Then it is easy to verify that each of  $f$  and  $g$  is a character mod  $2^\alpha$ . So is each product

$$\chi_{a_1, a_2}(n) = \chi_{a_1, a_2}(n; 2^\alpha) = f(n)^{a_1} g(n)^{a_2} = e\left(\frac{a_1(n-1)}{4} + \frac{a_2 \nu(n)}{2^{\alpha-2}}\right),$$

where  $a_1 \in [2]$  and  $a_2 \in [\varphi(2^\alpha)/2]$ . Moreover these  $\varphi(2^\alpha)$  characters are distinct so they represent all the characters mod  $2^\alpha$ .

# Real Dirichlet characters

- ▶ If  $\chi$  is a real-valued Dirichlet character  $\pmod{m}$  and  $(n, m) = 1$ , the number  $\chi(n)$  is both a root of unity and real, so  $\chi(n) = \pm 1$ . From the construction from the previous slides we can determine all real Dirichlet characters  $\pmod{p^\alpha}$ .

## Theorem (Exercise)

*For an odd prime  $p \in \mathbb{P}$  and  $\alpha \in \mathbb{Z}_+$ , consider the Dirichlet characters*

$$\chi_h(n) = \chi_h(n; p^\alpha) = \begin{cases} e(h\nu(n)/\varphi(p^\alpha)) & \text{if } p \nmid n, \\ 0 & \text{if } p \mid n, \end{cases} \quad \text{for } h \in [\mathbb{N}_{<\varphi(p^\alpha)}].$$

*Then  $\chi_h$  is real if, and only if,  $h = 0$  or  $h = \varphi(p^\alpha)/2$ . Hence there are exactly two real characters  $\pmod{p^\alpha}$ .*

- ▶ The next theorem describes the real characters  $\pmod{2^\alpha}$  when  $\alpha \geq 3$ .

## Theorem (Exercise)

*If  $\alpha \geq 3$ , consider the Dirichlet characters*

$$\chi_{a_1, a_2}(n) = \chi_{a_1, a_2}(n; 2^\alpha) = e\left(\frac{a_1(n-1)}{4} + \frac{a_2\nu(n)}{2^{\alpha-2}}\right),$$

*where  $a_1 \in [2]$  and  $a_2 \in [\varphi(2^\alpha)/2]$ . Then  $\chi_{a_1, a_2}$  is real if, and only if,  $a_2 = \varphi(2^\alpha)/2$  or  $a_2 = \varphi(2^\alpha)/4$ . Hence there are exactly four real characters  $\pmod{2}^\alpha$  if  $\alpha \geq 3$ .*

# Primitive Dirichlet characters

## Theorem (Exercise)

For an odd prime  $p \in \mathbb{P}$  and  $\alpha \geq 2$ , consider the Dirichlet characters

$$\chi_h(n) = \chi_h(n; p^\alpha) = \begin{cases} e(h\nu(n)/\varphi(p^\alpha)) & \text{if } p \nmid n, \\ 0 & \text{if } p \mid n, \end{cases} \quad \text{for } h \in [\mathbb{N}_{<\varphi(p^\alpha)}].$$

Then  $\chi_h$  is primitive mod  $p^\alpha$  if, and only if,  $p \nmid h$ .

## Theorem (Exercise)

If  $\alpha \geq 3$ , consider the Dirichlet characters

$$\chi_{a_1, a_2}(n) = \chi_{a_1, a_2}(n; 2^\alpha) = e\left(\frac{a_1(n-1)}{4} + \frac{a_2\nu(n)}{2^{\alpha-2}}\right),$$

where  $a_1 \in [2]$  and  $a_2 \in [\varphi(2^\alpha)/2]$ . Then  $\chi_{a_1, a_2}$  is primitive (mod  $2^\alpha$ ) if, and only if,  $a_2$  is odd.

## Remarks

- ▶ The character corresponding to  $h = 0$  is the principal character.
- ▶ When  $\alpha = 1$  the quadratic character  $\chi_p(n) = (n \mid p)$  is the only other real character  $(\bmod p)$ .
- ▶ For the moduli  $m = 1, 2$  and  $4$ , all the Dirichlet characters are real.
- ▶ There is only one primitive character modulo  $4$  defined for all odd positive integers  $n$  by

$$\chi_4(n) = (-1)^{(n-1)/2}.$$

- ▶ There are two primitive characters modulo  $8$  defined for all odd positive integers  $n$  by

$$\chi_8(n) = (-1)^{(n^2-1)/8}, \quad \text{and} \quad \chi_4\chi_8(n) = (-1)^{(n-1)/2+(n^2-1)/8}.$$

- ▶ If  $q = p^\alpha$  is a prime power, the only real primitive characters of conductor  $q$  are  $\chi_4, \chi_8, \chi_4\chi_8$  and  $\chi_p$ . Every real primitive character can be obtained as the product of these characters.
- ▶ This implies that the conductor of a real primitive character is of the form  $1, m, 4m$  or  $8m$  where  $m$  is a positive odd squarefree integer.

# Dirichlet's theorem

## Theorem (Dirichlet)

*Let  $a, q \in \mathbb{Z}_+$  be coprime integers. Then there are infinitely many prime numbers  $p \in \mathbb{P}$  such that  $p \equiv a \pmod{q}$ .*

- ▶ Around 1837, Dirichlet succeeded in using a generalization of Euler's proof  $\sum_{p \in \mathbb{P}} \frac{1}{p} = \infty$  and some group-theoretic tools.
- ▶ More precisely, Dirichlet proved the divergence of the series

$$\sum_{p \equiv a \pmod{q}} \frac{1}{p}$$

by discovering a clever expression for the characteristic function

$$\mathbf{1}_{q,a}(n) = \begin{cases} 1, & \text{if } n \equiv a \pmod{q}, \\ 0, & \text{otherwise.} \end{cases}$$

and showing that

$$\lim_{\sigma \rightarrow 1^+} \sum_{p \in \mathbb{P}} \frac{\mathbf{1}_{q,a}(p)}{p^\sigma} = \infty.$$

# The first key identity

## Proposition

Let  $a, q \in \mathbb{Z}_+$  be coprime integers and define  $\mathbf{1}_{q,a}(n)$  as

$$\mathbf{1}_{q,a}(n) = \begin{cases} 1, & \text{if } n \equiv a \pmod{q}, \\ 0, & \text{otherwise.} \end{cases}$$

For all  $n \in \mathbb{Z}_+$  we have

$$\mathbf{1}_{q,a}(n) = \frac{1}{\varphi(q)} \sum_{\chi \pmod{q}} \chi(n) \overline{\chi}(a)$$

where the summation is taken over all Dirichlet characters modulo  $q$ .

## Proof.

► This readily follows from the identity  $a, n \in \mathbb{Z}$ , then

$$\sum_{\chi \pmod{q}} \chi(n) \overline{\chi}(a) = \begin{cases} \varphi(q) & \text{if } (n, q) = (a, q) = 1 \text{ and } n \equiv a \pmod{q}, \\ 0 & \text{otherwise,} \end{cases}$$

which is simply the orthogonality relation.



# The second key identity

## Proposition

Let  $a, q \in \mathbb{Z}_+$  be coprime integers and  $N > 1$  be an integer. Then we have

$$\sum_{\substack{p \leq N \\ p \equiv a \pmod{q}}} \frac{1}{p} = \frac{1}{\varphi(q)} \sum_{\substack{p \leq N \\ (p,q)=1}} \frac{1}{p} + \frac{1}{\varphi(q)} \sum_{\substack{\chi \pmod{q} \\ \chi \neq \chi_0}} \bar{\chi}(a) \sum_{p \leq N} \frac{\chi(p)}{p}$$

## Proof.

► By the previous proposition we obtain

$$\sum_{\substack{p \leq N \\ p \equiv a \pmod{q}}} \frac{1}{p} = \sum_{p \leq N} \frac{\mathbf{1}_{q,a}(p)}{p} = \frac{1}{\varphi(q)} \sum_{\chi \pmod{q}} \bar{\chi}(a) \sum_{p \leq N} \frac{\chi(p)}{p}.$$

► We split the sum according to  $\chi = \chi_0$  or  $\chi \neq \chi_0$ , leading to the claim.

This completes the proof. □

# Simple bounds for sums of characters

## Corollary

*Let  $a, q \in \mathbb{Z}_+$  be coprime integers and  $N > 1$  be an integer. Then for any arithmetic function  $f : \mathbb{N} \rightarrow \mathbb{C}$  the following holds*

$$\sum_{\substack{n \leq N \\ n \equiv a \pmod{q}}} f(n) = \frac{1}{\varphi(q)} \sum_{\substack{n \leq N \\ (n,q)=1}} f(n) + \frac{1}{\varphi(q)} \sum_{\substack{\chi \pmod{q} \\ \chi \neq \chi_0}} \bar{\chi}(a) \sum_{n \leq N} \chi(n) f(n).$$

## Proposition

*For all non-principal Dirichlet characters  $\chi$  modulo  $q$  and all non-negative integers  $M < N$ , we have*

$$\left| \sum_{n=M+1}^N \chi(n) \right| \leq \varphi(q).$$



# Proof

- ▶ Let  $K = q \lfloor (N - M - 1)/q \rfloor$ . By the orthogonality relation, for all  $\chi \neq \chi_0$ , we have

$$\sum_{a \pmod{q}} \chi(a) = 0.$$

- ▶ Hence, by periodicity, we obtain

$$\sum_{n=M+1}^{M+K} \chi(n) = \sum_{j=1}^{K/q} \sum_{n=M+1+(j-1)q}^{M+jq} \chi(n) = \sum_{j=1}^{K/q} \sum_{n=M+1}^{M+q} \chi(n) = 0.$$

- ▶ The interval  $(M + K, N]$  contains at most  $q$  integers  $n_1, \dots, n_r$  with  $r \in [q]$ . Denoting by  $n_i$  the residue class of the integer  $n_i$  in  $(\mathbb{Z}/q\mathbb{Z})^\times$ , we obtain

$$\left| \sum_{n=M+1}^N \chi(n) \right| \leq \sum_{\substack{i=1 \\ (n_i, q)=1}}^r |\chi(n_i)| \leq \sum_{\substack{n \leq q \\ (n, q)=1}} 1 = \varphi(q)$$

as asserted.



# Sums involving Dirichlet characters

## Proposition

Let  $F \in C^1((1, +\infty))$  be a decreasing function such that  $F > 0$  and  $\lim_{x \rightarrow \infty} F(x) = 0$ . For all non-principal Dirichlet characters  $\chi$  modulo  $q$  and all real numbers  $x \geq 1$ , we have

$$\left| \sum_{k > x} \chi(k) F(k) \right| \leq 2qF(x).$$

## Proof.

► If  $(b_k)_{k \in \mathbb{Z}} \subseteq \mathbb{R}_+$  is a monotone, then

$$\left| \sum_{k=m+1}^n a_k b_k \right| \leq 2 \max\{b_{m+1}, b_n\} \max_{m \leq k \leq n} |s_k|,$$

where  $s_k = \sum_{x < n \leq k} \chi(n)$ .

► Applying this with  $a_k = \chi(k)$  and  $b_k = F(k)$  the result follows.

This completes the proof.



# Definition of $L$ -functions

## $L$ -functions

Let  $\chi$  be a Dirichlet character modulo  $q \geq 2$ . The  $L$ -function, or  $L$ -series, corresponding to  $\chi$  is the Dirichlet series of  $\chi$ , given by

$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} \quad \text{for all } s = \sigma + it \in \mathbb{C} \quad \text{with } \sigma > 1.$$

- By the absolute convergence of  $L(s, \chi)$  for  $s \in \mathbb{C}$  with  $\sigma > 1$  we have

$$L(s, \chi) = \prod_{p \in \mathbb{P}} \left( 1 - \frac{\chi(p)}{p^s} \right)^{-1}.$$

- If  $\chi = \chi_0$  we also have

$$L(s, \chi_0) = \sum_{\substack{n=1 \\ (n,q)=1}}^{\infty} \frac{1}{n^s} = \zeta(s) \prod_{p|q} \left( 1 - \frac{1}{p^s} \right).$$

- If  $\chi \neq \chi_0$  then  $L(s, \chi)$  converges for all  $s \in \mathbb{C}$  with  $\sigma > 0$ , by the previous proposition.

# Dirichlet's theorem: the first key step

## Theorem

If  $\chi \neq \chi_0$  is a non-principal Dirichlet character modulo  $q$  satisfying

$$L(1, \chi) \neq 0,$$

then the series

$$\sum_{p \in \mathbb{P}} \frac{\chi(p)}{p}$$

converges.

## Proof.

Let  $N \geq 2$  be an integer. We will estimate in two different ways the sum

$$\sum_{1 \leq n \leq N} \frac{\chi(n) \log n}{n}.$$

► Since  $\log n = \Lambda \star 1(n)$ , we have

$$\sum_{n \leq N} \frac{\chi(n) \log n}{n} = \sum_{n \leq N} \frac{\chi(n)}{n} \sum_{d|n} \Lambda(d).$$

## Proof

- Interchanging the order of summation and using multiplicativity of the Dirichlet characters we can write

$$\begin{aligned}\sum_{n \leq N} \frac{\chi(n) \log n}{n} &= \sum_{d \leq N} \Lambda(d) \sum_{\substack{n \leq N \\ d|n}} \frac{\chi(n)}{n} \\&= \sum_{d \leq N} \Lambda(d) \sum_{k \leq N/d} \frac{\chi(kd)}{kd} \\&= \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \sum_{k \leq N/d} \frac{\chi(k)}{k} \\&= L(1, \chi) \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} - \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \sum_{k > N/d} \frac{\chi(k)}{k}.\end{aligned}$$

- Since  $L(1, \chi) \neq 0$ , we infer that

$$\sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} = \frac{1}{L(1, \chi)} \left( \sum_{n \leq N} \frac{\chi(n) \log n}{n} + \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \sum_{k > N/d} \frac{\chi(k)}{k} \right).$$

## Proof

- ▶ By the previous proposition, since  $|\sum_{k>N/d} \frac{\chi(k)}{k}| \leq 2dq/N$ , we obtain

$$\left| \sum_{d \in [N]} \frac{\chi(d)\Lambda(d)}{d} \sum_{k>N/d} \frac{\chi(k)}{k} \right| \leq \frac{2q}{N} \sum_{d \in [N]} \Lambda(d) = \frac{2q\Psi(N)}{N}.$$

- ▶ By using  $\Psi(N) < 2N$  we have

$$\left| \sum_{d \in [N]} \frac{\chi(d)\Lambda(d)}{d} \sum_{k>N/d} \frac{\chi(k)}{k} \right| < 4q.$$

- ▶ Inserting this bound to the last identity in the previous slide we have

$$\left| \sum_{d \in [N]} \frac{\chi(d)\Lambda(d)}{d} \right| < \frac{1}{|L(1, \chi)|} \left( \left| \sum_{n \in [N]} \frac{\chi(n) \log n}{n} \right| + 4q \right).$$

- ▶ By partial summation we obtain

$$\begin{aligned} \sum_{n \leq N} \frac{\chi(n) \log n}{n} &= \frac{\chi(2) \log 2}{2} + \sum_{3 \leq n \leq N} \frac{\chi(n) \log n}{n} \\ &= \frac{\chi(2) \log 2}{2} + \frac{\log N}{N} \sum_{3 \leq n \leq N} \chi(n) + \int_3^N \frac{\log t - 1}{t^2} \left( \sum_{3 \leq n \leq t} \chi(n) \right) dt. \end{aligned}$$

# Proof

- ▶ Using the fact that  $|\sum_{3 \leq n \leq N} \chi(n)| < q$ , we obtain

$$\begin{aligned} \left| \sum_{n \leq N} \frac{\chi(n) \log n}{n} \right| &\leq \frac{\log 2}{2} + q \left( \frac{\log N}{N} + \int_3^N \frac{\log t - 1}{t^2} dt \right) \\ &= \frac{\log 2}{2} + \frac{q \log 3}{3} < q. \end{aligned}$$

- ▶ Inserting this bound to the last estimates

$$\left| \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \right| < \frac{5q}{|L(1, \chi)|}.$$

- ▶ We also have

$$\sum_{p \leq N} \frac{\chi(p) \log p}{p} = \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} - \sum_{p \leq N} \log p \sum_{\alpha=2}^{\lfloor \log N / \log p \rfloor} \frac{\chi(p^\alpha)}{p^\alpha}.$$

- ▶ The second sum is bounded since

$$\left| \sum_{p \leq N} \log p \sum_{\alpha=2}^{\lfloor \log N / \log p \rfloor} \frac{\chi(p^\alpha)}{p^\alpha} \right| \leq \sum_{p \leq N} \log p \sum_{\alpha=2}^{\lfloor \log N / \log p \rfloor} \frac{1}{p^\alpha} \leq \sum_{p \in \mathbb{P}} \frac{\log p}{p(p-1)} < C,$$

for some constant  $C \in \mathbb{R}_+$ , which in fact we can take  $C = 1$ .

## Proof

- Now by partial summation we can write

$$\sum_{p \leq N} \frac{\chi(p)}{p} = \frac{1}{\log N} \sum_{p \leq N} \frac{\chi(p) \log p}{p} + \int_2^N \left( \sum_{p \leq t} \frac{\chi(p) \log p}{p} \right) \frac{dt}{t(\log t)^2},$$

so that by above we obtain

$$\begin{aligned} \left| \sum_{p \leq N} \frac{\chi(p)}{p} \right| &< \frac{1}{\log N} \left( \left| \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \right| + C \right) \\ &\quad + \int_2^N \left( \left| \sum_{d \leq t} \frac{\chi(d) \Lambda(d)}{d} \right| + C \right) \frac{dt}{t(\log t)^2}. \end{aligned}$$

- The estimate  $\left| \sum_{d \leq N} \frac{\chi(d) \Lambda(d)}{d} \right| < \frac{5q}{|L(1, \chi)|}$  provides

$$\left| \sum_{p \leq N} \frac{\chi(p)}{p} \right| < \frac{1}{\log 2} \left( \frac{5q}{|L(1, \chi)|} + C \right),$$

which completes the proof.





# Dirichlet's theorem: the second key step

## Theorem

If  $\chi \neq \chi_0$  is a non-principal Dirichlet character modulo  $q$ , then

$$L(1, \chi) \neq 0.$$

## Proof.

- ▶ We form the product of all  $L(s, \chi)$ :

$$F(s) = \prod_{\chi \pmod{q}} L(s, \chi) = \prod_{p \nmid q} \prod_{\chi \pmod{q}} \frac{1}{1 - (\chi(p)/p^s)} \quad \text{for all } s > 1.$$

- ▶ If  $m$  is the smallest positive integer such that  $p^m \equiv 1 \pmod{q}$ , then  $\chi(p)$  is an  $m$ -th root of unity, say  $\varepsilon$ . All such  $\varepsilon$  occur with the same multiplicity  $l = \phi(q)/m$  as  $\chi$  runs over all the characters modulo  $q$ .
- ▶ This means that

$$\prod_{\chi \pmod{q}} \left(1 - \frac{\chi(p)}{p^s}\right) = \prod_{\varepsilon} \left(1 - \frac{\varepsilon}{p^s}\right)^l,$$

where  $\varepsilon$  runs over all the  $m$ -th roots of unity.

## Proof

- Now since

$$\prod_{\varepsilon} (x - \varepsilon) = x^m - 1,$$

we have that

$$\prod_{\varepsilon} \left(1 - \frac{\varepsilon}{x}\right) = 1 - \frac{1}{x^m}.$$

- Therefore

$$\prod_{\varepsilon} \left(1 - \frac{\varepsilon}{p^s}\right) = 1 - \frac{1}{p^{ms}},$$

so that

$$\prod_{\chi(\bmod q)} \left(1 - \frac{\chi(p)}{p^s}\right) = \left(1 - \frac{1}{p^{ms}}\right)^l \leq 1 - \frac{1}{p^{lms}}.$$

- Here we used the inequality  $(1 - x)^n \leq 1 - x^n$ , which is clearly valid for all  $n \geq 1$  and  $x \in [0, 1]$ . Setting  $h = \phi(q) = lm$ , we thus have

$$F(s) = \prod_{\chi(\bmod q)} L(s, \chi) \geq \prod_{p \nmid q} \frac{1}{1 - (1/p^{hs})} = \zeta(hs) \prod_{p|q} \left(1 - \frac{1}{p^{hs}}\right).$$

## Proof

- ▶ This implies that for  $s > 1$ , that

$$F(s) = \prod_{\chi \pmod{q}} L(s, \chi) \geq \zeta(hs) \prod_{p|q} \left(1 - \frac{1}{p}\right) > \frac{\phi(q)}{q}. \quad (*)$$

- ▶ We show that (\*) precludes that two or more of the  $L(1, \chi)$  's vanish.
- ▶ Indeed, assume that  $L(1, \chi_1) = L(1, \chi_2) = 0$  for two characters  $\chi_1$  and  $\chi_2$ . Clearly,  $\chi_1, \chi_2 \neq \chi_0$ . Then  $F(s)$  would contain, besides other factors that are continuous (thus bounded) at  $s = 1$ , the factor

$$\begin{aligned} & L(s, \chi_0) L(s, \chi_1) L(s, \chi_2) \\ &= L(s, \chi_0) (s-1)^2 (L'(1, \chi_1) + \eta_1(s)) (L'(1, \chi_2) + \eta_2(s)), \end{aligned}$$

where  $\lim_{s \rightarrow 1} \eta_1(s) = \lim_{s \rightarrow 1} \eta_2(s) = 0$ .

- ▶ The Riemann zeta function has a simple pole at  $s = 1$ , and  $L(s, \chi_0) = \zeta(s) \prod_{p|k} \left(1 - \frac{1}{p^s}\right)$ , thus

$$\lim_{s \rightarrow 1} (s-1)L(s, \chi_0) = \phi(q)/q,$$

and we would get that  $\lim_{s \rightarrow 1} F(s) = 0$ , which would contradict (\*).

## Proof

- ▶ If now  $L(1, \chi) = 0$  for some complex character  $\chi$  (that is, which assumes complex non-real values), then  $\bar{\chi}$  is also a character of modulus  $q$  which is distinct from  $\chi$ , and clearly  $L(1, \bar{\chi}) = \overline{L(1, \chi)} = 0$ . But we have just seen that this is impossible.
- ▶ Thus, if  $L(s, \chi) = 0$  for some  $\chi$ , then  $\chi$  is unique and real (it assumes only the values  $\pm 1$ ). In order to complete the proof, we will show that  $L(1, \chi) \neq 0$  for all real non-principal characters as well.
- ▶ From now on, we assume that  $\chi$  is real non-principal character.
- ▶ Note that  $\chi : \mathbb{N} \rightarrow \{0, \pm 1\}$  is, in particular, a multiplicative function. So, if we let

$$f(n) = \sum_{d|n} \chi(d) = 1 * \chi,$$

then  $f$  is also multiplicative.

- ▶ Note further that since  $\chi(p) = \pm 1$ , we get that

$$f(p^l) = \chi(1) + \chi(p) + \cdots + \chi(p^l) \geq 0$$

for all  $l \in \mathbb{Z}_+$ , and, in fact,  $f(p^l) \geq 1$  whenever  $2 \mid l$ .

## Proof

- ▶ Using the fact that  $f$  is multiplicative, we get that  $f(m^2) \geq 1$ . Thus,

$$\sum_{n=1}^{\infty} \frac{f(n)}{n^{1/2}} \geq \sum_{m \in \mathbb{Z}_+} \frac{f(m^2)}{m} \geq \sum_{m \in \mathbb{Z}_+} \frac{1}{m} = \infty.$$

- ▶ Let us take a closer look at this divergence. We have

$$G(x) = \sum_{n \leq x} \frac{f(n)}{n^{1/2}} = \sum_{n \leq x} \frac{1}{n^{1/2}} \sum_{d|n} \chi(d) = \sum_{td \leq x} \frac{\chi(d)}{(td)^{1/2}}.$$

- ▶ By using the Dirichlet hyperbola principle and splitting summation according to whether  $d \leq \sqrt{x}$  or  $d > \sqrt{x}$ , we obtain

$$\begin{aligned} G(x) &= \sum_{1 \leq d \leq \sqrt{x}} \frac{\chi(d)}{d^{1/2}} \sum_{1 \leq t \leq x/d} \frac{1}{t^{1/2}} + \sum_{t \leq \sqrt{x}} \frac{1}{t^{1/2}} \sum_{\sqrt{x+1} \leq d \leq x/t} \frac{\chi(d)}{d^{1/2}} \\ &= G_1(x) + G_2(x). \end{aligned}$$

## Proof

- By Abel's summation formula, we have that

$$\begin{aligned}\sum_{1 \leq t \leq y} \frac{1}{t^{1/2}} &= \frac{\lfloor y \rfloor}{y^{1/2}} - \int_1^y \lfloor t \rfloor \left( \frac{1}{t^{1/2}} \right)' dt = \frac{y - \{y\}}{y^{1/2}} + \frac{1}{2} \int_1^y \frac{t - \{t\}}{t^{3/2}} dt \\&= y^{1/2} + O\left(\frac{1}{y^{1/2}}\right) + \frac{1}{2} \int_1^y \frac{dt}{t^{1/2}} - \frac{1}{2} \int_1^y \frac{\{t\}}{t^{3/2}} dt \\&= 2y^{1/2} - 1 - \frac{1}{2} \left( \int_1^\infty \frac{\{t\}}{t^{3/2}} dt - \int_y^\infty \frac{\{t\}}{t^{3/2}} dt \right) + O\left(\frac{1}{y^{1/2}}\right) \\&= 2y^{1/2} + \left( -1 - \frac{1}{2} \int_1^\infty \frac{\{t\}}{t^{3/2}} dt \right) + O\left(\frac{1}{y^{1/2}} + \int_y^\infty \frac{dt}{t^{3/2}}\right) \\&= 2y^{1/2} + C + O\left(\frac{1}{y^{1/2}}\right),\end{aligned}$$

where  $C$  is the constant given by

$$C = -1 - \frac{1}{2} \int_1^\infty \frac{\{t\}}{t^{3/2}} dt.$$

## Proof

► Hence, we obtain

$$\begin{aligned} G_1(x) &= \sum_{1 \leq d \leq \sqrt{x}} \frac{\chi(d)}{d^{1/2}} \left( 2\sqrt{\frac{x}{d}} + C + O\left(\sqrt{\frac{d}{x}}\right) \right) \\ &= 2\sqrt{x} \sum_{1 \leq d \leq \sqrt{x}} \frac{\chi(d)}{d} + C \sum_{1 \leq d \leq \sqrt{x}} \frac{\chi(d)}{d^{1/2}} + O\left(\frac{\sqrt{x}}{\sqrt{x}}\right) \\ &= 2\sqrt{x} \left( \sum_{d=1}^{\infty} \frac{\chi(d)}{d} - \sum_{d > \sqrt{x}} \frac{\chi(d)}{d} \right) + O(1), \end{aligned}$$

where we used the fact that

$$\begin{aligned} \sum_{1 \leq d \leq \sqrt{x}} \frac{\chi(d)}{d^{1/2}} &= L(1/2, \chi) + o(1) = O(1), \\ \sum_{d > \sqrt{x}} \frac{\chi(d)}{d} &= O\left(\frac{1}{\sqrt{x}}\right). \end{aligned}$$

► Hence, we conclude that

$$G_1(x) = 2\sqrt{x}L(1, \chi) + O(1).$$

## Proof

- ▶ We are left with examining the size of

$$G_2(x) = \sum_{1 \leq t \leq \sqrt{x}} \frac{1}{t^{1/2}} \sum_{\sqrt{x+1} \leq d \leq x/t} \frac{\chi(d)}{d^{1/2}}.$$

- ▶ The inner sums are bounded by

$$\left| \sum_{\sqrt{x+1} \leq d \leq x/t} \frac{\chi(d)}{d^{1/2}} \right| = o\left(\frac{1}{x^{1/4}}\right).$$

Therefore,  $G_2(x) = O(1)$ , since

$$\begin{aligned} G_2(x) &= O\left(\frac{1}{x^{1/4}} \sum_{1 \leq t \leq x^{1/2}} \frac{1}{t^{1/2}}\right) = O\left(\frac{1}{x^{1/4}} \left(1 + \int_1^{\sqrt{x}} \frac{dt}{t^{1/2}}\right)\right) \\ &= \frac{1}{x^{1/4}} (1 + 2x^{1/4}) = O(1), \end{aligned}$$

- ▶ Combining the above estimates, we obtain

$$G(x) = G_1(x) + G_2(x) = 2\sqrt{x}L(1, \chi) + O(1)$$

Since we know that  $G(x)$  tends to infinity with  $x$  and, plainly, that this can happen only if  $L(1, \chi) \neq 0$ . □



# Proof of Dirichlet's theorem

## Theorem (Dirichlet)

Let  $a, q \in \mathbb{Z}_+$  be coprime integers. Then there are infinitely many prime numbers  $p \in \mathbb{P}$  such that  $p \equiv a \pmod{q}$ .

## Proof.

- ▶ We know that

$$\sum_{\substack{p \leq N \\ p \equiv a \pmod{q}}} \frac{1}{p} = \frac{1}{\varphi(q)} \sum_{\substack{p \leq N \\ (p,q)=1}} \frac{1}{p} + \frac{1}{\varphi(q)} \sum_{\substack{\chi \pmod{q} \\ \chi \neq \chi_0}} \bar{\chi}(a) \sum_{p \leq N} \frac{\chi(p)}{p}. \quad (*)$$

- ▶ It is easy to see that

$$\lim_{N \rightarrow \infty} \sum_{\substack{p \leq N \\ (p,q)=1}} \frac{1}{p} = \infty,$$

since it only differs from  $\sum_{p \in \mathbb{P}_{\leq N}} 1/p$  by a finite number of terms.

- ▶ On the other hand, we have shown  $\sum_{p \leq N} \frac{\chi(p)}{p}$  converges, thus we have

$$\left| \sum_{\substack{\chi \pmod{q} \\ \chi \neq \chi_0}} \bar{\chi}(a) \sum_{p \leq N} \frac{\chi(p)}{p} \right| = O(1).$$

- ▶ Therefore, the series on the left-hand side of (\*) must diverge, and consequently the Dirichlet's theorem follows as desired. □